

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 642-4146 (TEL) (Direct)  
(310) 641-8798 (FAX)

Gates & Cooper LLP

*Fax*

To:	Examiner J. Choules	From:	George H. Gates
Fax:	(703) 746-7239	Pages:	25
Phone:	(703) 305-9840	Date:	April 2, 2002
Re:	Our Dkt. ST9-95-032R: Copy of Amendment Under 37 C.F.R. 1.116 faxed on 9/27/01	CC:	

This transmission contains information that is confidential and/or legally privileged. It is intended for use only by the person to whom it is directed. If you have received this facsimile in error, please notify us by phone immediately so that we can arrange for the return of the original documents to us.

If you do NOT receive all of the pages, please phone or fax us at the above numbers.

● Comments:

Dear Examiner Choules:

Further to our conversation of this morning, please find attached a copy of an Amendment Under 37 C.F.R. §1.116 including Appendix and facsimile confirmation sheet showing receipt by the U.S. Patent and Trademark Office on September 27, 2001, which we filed by facsimile transmission on September 27, 2001.

*George Gates*

APR-02-2002 11:16AM FROM-Gates & Cooper LLP

+13106418798

T-347 P.002 F-354

TIME : SEP 27 '01 :10  
TEL NUMBER : 13106418798  
NAME : GATES AND COOPER

NBR	FILE	DATE	TIME	DURATION	PGS	TO	DEPT	NBR	MODE	STATUS	
669	39	SEP. 27	15:02	07:52	23	17033086306			EC	M	OK

## Gates & Cooper LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

### FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents	FROM: George H. Gates
Attn: Examiner J. Choules	OUR REF.: ST9-95-032R
Patent Examining Corps	TELEPHONE: (310) 642-4146
Facsimile Center	
Washington, D.C. 20231	

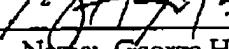
Total pages, including cover letter: 23

PTO FAX NUMBER: (703) 308-6306

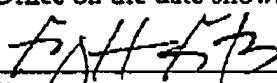
If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

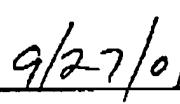
Title of Document Transmitted:	AMENDMENT UNDER 37 C.F.R. 1.116
Applicant:	Paul C. Leung et al.
Serial No.:	09/286,678
Filed:	April 2, 1999
Group Art Unit:	2177
Our Ref. No.:	ST9-95-032R

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application.

By:   
Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature

  
Date

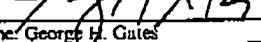
Due Date: October 13, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul C. Leung et al. Examiner: J. Choules  
 Serial No.: 09/286,678 Group Art Unit: 2177  
 Filed: April 2, 1999 Docker: ST9-95-032R  
 Title: PLATFORM-TRANSPARENT REGISTRATION AND BUILD OF STORED PROCEDURES  
 AND USER-DEFINED FUNCTIONS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on September 27, 2001.

By:   
 Name: George H. Gates

Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
 Amendment Under 37 C.F.R. §1.116 including Appendix.

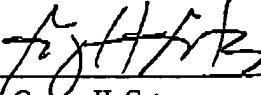
CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
<b>Total Claims</b>				
69	69	0	x \$18.00	= \$0.00
<b>Independent Claims</b>				
14	14	0	x \$80.00	= \$0.00
<b>MULTIPLE DEPENDENT CLAIM FEE</b>				\$0.00
<b>TOTAL FILING FEE</b>				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

**GATES & COOPER LLP**  
 Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

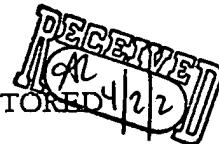
By:   
 Name: George H. Gates  
 Reg. No.: 33,500  
 GHG/sjm

#150  
4/18/02  
AW

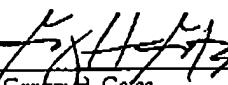
Due Date: October 13, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul C. Leung et al. Examiner: J. Choules  
Serial No.: 09/286,678 Group Art Unit: 2177  
Filed: April 2, 1999 Docket: ST9-95-032R  
Title: PLATFORM-TRANSPARENT REGISTRATION AND BUILD OF STORED PROCEDURES AND USER-DEFINED FUNCTIONS

**Official**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on September 27, 2001.

By:   
Name: George A. Gates

AMENDMENT UNDER 37 C.F.R. §1.116

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated September 13, 2001, please amend the above-identified application as follows. Please note that in compliance with the new rules under 37 C.F.R. § 1.121 the amended claims are presented in "clean" form with marked-up version provided in the Appendix.

IN THE CLAIMS

Please amend claims 8 and 18 as follows: